SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL					
OMB Number:	3235-0287				
Estimated average burden					
hours per response:	0.5				

	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

	.ion 1(b).			1 1100							mpany Act of		. 100 .						
1. Name and Address of Reporting Person [*] DRAKE FRED L					2. Issuer Name and Ticker or Trading Symbol HBT Financial, Inc. [HBT]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director X 10% Owner						
						3. Date of Earliest Transaction (Month/Day/Year) 02/25/2022								X Officer (give title Other (specify below) below) CHAIRMAN AND CEO					
(Street) BLOOMINGTON IL 61704					4. If A	4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line) Form filed by One Reporting Person X Form filed by More than One Reporting Person					
(City)	(Sti		(Zip)	n-Deriva		2001	ritio		uirod	Die	nosed of	or B	onof			od			
1. Title of Security (Instr. 3) 2. 1 Dat			2. Transact Date (Month/Day	tion	2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr.				red (A)) or 5. Amou 4 and Securiti Benefic Owned		nt of s ally collowing	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership		
									Code	v	Amount	(A) o (D)	r Pri	се	Reported Transaction(s) (Instr. 3 and 4)			(Instr. 4)	
Common	Stock, \$0.0	1 par value ⁽¹⁾		02/25/2	022				Α		6,135	Α		\$ <mark>0</mark>	25,	563		D	
Common Stock, \$0.01 par value													93,670		I		By the Fred L. Drake Revocable Trust		
Common Stock, \$0.01 par value												Τ		17,21	0,400		I	See footnote ⁽²⁾	
		Ta	ble II ·								osed of, o				/ Owned	d	<u> </u>		
1. Title of 2. 3. Transaction 3A. Deen Derivative Conversion Date Executio Security or Exercise (Month/Day/Year) if any		eemed tion Date, n/Day/Year)	4. Transactior Code (Instr. 8)				Expiration D		ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		5 (8. Price of Derivative Gecurity Instr. 5)	9. Numb derivativ Securitie Beneficia Owned Followin Reported Transact (Instr. 4)	e es ally g	10. Ownersh Form: Direct (D or Indire (I) (Instr.	Beneficial Ownershi ct (Instr. 4)		
					Code	v	(A)	(D)	Date Exercis	sable	Expiration Date	Title	Amou or Numb of Share	er					
	d Address of	Reporting Persor	*																
	ſ FINANCI ERSHEY I		(M	iddle)															

(Street)

BLOOMINGTON IL

(City) (State)

1. Name and Address of Reporting Person^* HEARTLAND BANCORP, INC. VOTING TRUST U/A/D 5/4/2016

61704

(Zip)

61704

(Last)	(First)	(Middle)

C/O HBT FINANCIAL, INC. 401 N. HERSHEY ROAD

(Street)		
BLOOMINGTON	IL	

(City) (State) (Zip)

Explanation of Responses:

1. The reporting person received 6,135 restricted stock units ("RSUs") under the HBT Financial, Inc. Omnibus Incentive Plan. The RSUs vest in three annual installments, with 33% vesting on February 28, 2023, 33% vesting on February 29, 2024, and 34% vesting on February 28, 2025.

2. Consists of shares of common stock held by the Heartland Bancorp, Inc. Voting Trust U/A/D May 4, 2016 (the "Voting Trust"). Mr. Drake is the trustee of the Voting Trust and exercises sole voting and investment control over the common stock held thereby. Mr. Drake may be deemed to be the beneficial owner of securities held by the Voting Trust to the extent that he or his immediate family members are beneficiaries of such trust. Mr. Drake disclaims beneficial ownership of the shares held by the Voting Trust except to the extent of his pecuniary interest therein.

 /s/ Renee K. Fehr, Attorneyin-Fact for Fred L. Drake
 03/01/2022

 /s/ Renee K. Fehr, Attorneyin-Fact for Heartland Bancorp,
 03/01/2022

 Inc. Voting Trust U/A/D
 03/01/2022

 5/4/2016
 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

October 14, 2021

POWER OF ATTORNEY

KNOW ALL BY THESE PRESENTS, that the undersigned hereby constitutes and appoints each of J. Lance Carter, Matthew J. Doherty, Andrea E. Zurkamer and Renee K. Fehr signing singly, as the undersigned's true and lawful attorney-in-fact to: (i) execute for and on behalf of the undersigned, in the undersigned's capacity as an officer and/or director and/or owner of greater than 5% of the outstanding common stock of HBT Financial, Inc., a Delaware corporation (the "<u>Company</u>"), Forms 3, 4 and 5 in accordance with Section 16(a) of the Securities Exchange Act of 1934 and the rules thereunder; (ii) do and perform any and all acts for and on behalf of the undersigned which may be necessary or desirable to complete and execute any such Form 3, 4 or 5 and timely file such form with the United States Securities and Exchange Commission and any stock exchange or similar authority; and (iii) take any other action of any type whatsoever in connection with the foregoing which, in the opinion of such attorney-in-fact, may be of benefit to, in the best interest of, or legally required by, the undersigned, it being understood that the documents executed by such attorney-in-fact on behalf of the undersigned pursuant to this Power of Attorney shall be in such form and shall contain such terms and conditions as such attorney-in-fact may approve in such attorney-in-fact's discretion.

The undersigned hereby grants to each such attorney-in-fact full power and authority to do and perform any and every act and thing whatsoever requisite, necessary or proper to be done in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as the undersigned might or could do if personally present, with full power of substitution or revocation, hereby ratifying and confirming all that such attorney-in-fact, or such attorney-in-fact's substitute or substitutes, shall lawfully do or cause to be done by virtue of this power of attorney and the rights and powers herein granted. The undersigned acknowledges that the foregoing attorneys-in-fact, in serving in such capacity at the request of the undersigned, are not assuming, nor is the Company assuming, any of the undersigned's responsibilities to comply with Section 16 of the Securities Exchange Act of 1934.

This Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file Forms 3, 4 and 5 with respect to the undersigned's holdings of and transactions in securities issued by the Company, unless earlier revoked by the undersigned in a signed writing delivered to the foregoing attorneys-in-fact.

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of date first written above.

Fred L. Drake

/s/ Fred L. Drake

[Signature Page to Power of Attorney]

October 14, 2021

POWER OF ATTORNEY

KNOW ALL BY THESE PRESENTS, that the undersigned hereby constitutes and appoints each of J. Lance Carter, Matthew J. Doherty, Andrea E. Zurkamer and Renee K. Fehr signing singly, as the undersigned's true and lawful attorney-in-fact to: (i) execute for and on behalf of the undersigned, in the undersigned's capacity as an officer and/or director and/or owner of greater than 5% of the outstanding common stock of HBT Financial, Inc., a Delaware corporation (the "<u>Company</u>"), Forms 3, 4 and 5 in accordance with Section 16(a) of the Securities Exchange Act of 1934 and the rules thereunder; (ii) do and perform any and all acts for and on behalf of the undersigned which may be necessary or desirable to complete and execute any such Form 3, 4 or 5 and timely file such form with the United States Securities and Exchange Commission and any stock exchange or similar authority; and (iii) take any other action of any type whatsoever in connection with the foregoing which, in the opinion of such attorney-in-fact, may be of benefit to, in the best interest of, or legally required by, the undersigned, it being understood that the documents executed by such attorney-in-fact on behalf of the undersigned pursuant to this Power of Attorney shall be in such form and shall contain such terms and conditions as such attorney-in-fact may approve in such attorney-in-fact's discretion.

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IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of date first written above.

HEARTLAND BANCORP, INC. VOTING TRUST U/A/D MAY 4, 2016

<u>/s/ Fred L. Drake</u> By: Name: Fred L. Drake Its: Trustee

[Signature Page to Power of Attorney]