SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL OMB Number 3235-0287

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	5255-0
Estimated average bure	den
hours per response:	

5. Relationship of Reporting Person(s) to Issuer (Check all applicable)

	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
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1. Name and Address of Reporting Person*

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading Symbol

HBT Financial, Inc. [HBT]

DRAKE FRED L					HBT Financial, Inc. [HBT]									X Director X 10% Owner					
(Last) (First) (Middle) C/O HBT FINANCIAL, INC. 401 N. HERSHEY ROAD						3. Date of Earliest Transaction (Month/Day/Year) 03/11/2020								X Officer (give title Other (specify below) below) CHAIRMAN AND CEO					
					4. lf	4. If Amendment, Date of Original Filed (Month/Day/Year)									or Joint/Gro	oup Filii	ng (Checl	Applicable	
(Street) BLOOMINGTON IL 61704														Line) Form filed by One Reporting Person X Form filed by More than One Reporting Person					
(City)	(St	ate) (2	Zip)																
		Table	I - N	Non-Deriva	tive	Secu	rities	s Ac	quire	ed, D	isposed o	f, or E	Beneficia	lly Owr	ned				
1. Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Ye			(ear)	Execut f any	Deemed sution Date, y hth/Day/Year)		3. Transaction Code (Instr. 8)		4. Securities Disposed Of	(D) (Inst	Acquired (A) or (D) (Instr. 3, 4 and 5)		Beneficially Owned Following Reported		/nership :: Direct r Indirect str. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
									Code	v	Amount	(A) or (D)	Price	Transa (Instr. 3	ction(s) and 4)				
Common	Stock, \$0.0)1 par value		03/11/202	20				Р		10,000	A	\$13.23 ⁽¹⁾	17,2	20,400	00 I See footnote			
Common	Stock, \$0.0)1 par value												12	2,000		D		
		Tal	ble I	ll - Derivati (e.g., pu							posed of, , convertil			y Owne	d				
Security or E (Instr. 3) Pric Der	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Exe if ar	3A. Deemed Execution Date, if any (Month/Day/Year)		action (Instr.			Expiration (Month/Da			7. Titl Amou Secur Under Deriv Secur 3 and	int of ities it rlying ative ity (Instr.	3. Price of Derivative Security Instr. 5)	9. Numbe derivativ Securitie Beneficia Owned Following Reported Transact (Instr. 4)	e Owners s Form: ally Direct (or Indin g (I) (Inst		Beneficial Ownership (Instr. 4)	
					Code	v	(A)	(D)	Date Exe	rcisabl	Expiration Date	Title	Amount or Number of Shares						
	nd Address of E FRED	Reporting Person [*]																	
	T FINANC			(Middle)															
(Street) BLOOM	IINGTON	IL		61704															
(City)		(State)		(Zip)															
HEAR		Reporting Person [*] BANCORP, I 5/4/2016		. VOTINC	Ĩ														
	T FINANC			(Middle)															
(Street) BLOOM	IINGTON	IL		61704															
(City)		(State)		(Zip)															

Explanation of Responses:

1. The price reported in column 4 is a weighted average price. These shares were acquired in multiple transactions at prices ranging from \$13.20 to \$13.25, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares acquired at each separate price within the ranges set forth in footnote (1) to this Form 4.

2. Consists of shares of common stock held by the Heartland Bancorp, Inc. Voting Trust U/A/D May 4, 2016 (the "Voting Trust"). Mr. Drake is the trustee of the Voting Trust and exercises sole voting and investment control over the common stock held thereby. Mr. Drake may be deemed to be the beneficial owner of securities held by the Voting Trust to the extent that he or his immediate family members are beneficiaries of such trust. Mr. Drake disclaims beneficial ownership of the shares held by the Voting Trust except to the extent of his pecuniary interest therein.

/s/ Andrea E. Zurkamer, attorney-in-fact for Fred L. 03/12/2020 Drake /s/ Andrea E. Zurkamer, attorney-in-fact for Heartland 03/12/2020 Bancorp, Inc. Voting Trust U/A/D 5/4/2016

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.