SEC Form 4
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## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL						
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Estimated average	burden					

0.5

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>DRAKE FRED L</u>		Issuer Name <b>and</b> T IBT Financial		0 )		tionship of Repor all applicable) Director Officer (give titl	X 10	i) to Issuer 0% Owner ther (specify
(Last)(First)(MiddC/O HBT FINANCIAL, INC.401 N. HERSHEY ROAD	, .	3. Date of Earliest Transaction (Month/Day/Year) 03/11/2020				below)		elow)
(Street) BLOOMINGTON IL 617( (City) (State) (Zip)	03	If Amendment, Date 3/12/2020	e of Original F	iled (Month/Day/Year)	6. Indiv Line) X	/idual or Joint/Gro Form filed by C Form filed by N Person	ne Reporting	Person
Table I -	Non-Derivativ	e Securities A	cquired, D	isposed of, or Benef	icially	Owned		
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a	nd 5)   S   E	5. Amount of Securities Beneficially Dwned Following	6. Ownershi Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect

	(	(Month/Day/Year)	8)					Owned Following	(I) (Instr. 4)	Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock, \$0.01 par value	03/11/2020		р		10,000	A	<b>\$</b> 13.23 <sup>(1)</sup>	10,000	Ι	By the Fred L. Drake Revocable Trust
Common Stock, \$0.01 par value								17,210,400	Ι	See Footnote <sup>(2)</sup>
Common Stock, \$0.01 par value								12,000	D	

		Tal	ble II - Derivat (e.g., pเ					ired, Disp options, o					d		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)				Expiration Date (Month/Day/Year) urities uired or oosed D) ), r, 3, 4		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
	nd Address of	f Reporting Person <sup>*</sup>													
					-										
(Last)	T FINANC	(First)	(Middle)												
	I FINANC														
(Street)					-										
BLOOM	IINGTON	IL	61704												
(City)		(State)	(Zip)		-										
HEAR		f Reporting Person <sup>*</sup> BANCORP, I 5/4/2016		3											
(Last)	ΤΕΙΝΔΝΟ	(First)	(Middle)												

C/O HBT FINANCIAL, INC. 401 N. HERSHEY ROAD

(Street)	
BLOOMINGTON	IL

61704

(City)	(State)	(Zip)
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## Explanation of Responses:

1. The price reported in column 4 is a weighted average price. These shares were acquired in multiple transactions at prices ranging from \$13.20 to \$13.25, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares acquired at each separate price within the ranges set forth in footnote (1) to this Form 4.

2. Consists of shares of common stock held by the Heartland Bancorp, Inc. Voting Trust U/A/D May 4, 2016 (the "Voting Trust"). Mr. Drake is the trustee of the Voting Trust and exercises sole voting and investment control over the common stock held thereby. Mr. Drake may be deemed to be the beneficial owner of securities held by the Voting Trust to the extent that he or his immediate family members are beneficiaries of such trust. Mr. Drake disclaims beneficial ownership of the shares held by the Voting Trust except to the extent of his pecuniary interest therein.

## Remarks:

This amendment to Form 4 is being filed to correct the original Form 4 filed on March 12, 2020 to state that the 10,000 shares reported herein were purchased by the Fred L. Drake Revocable Trust. The original Form 4 incorrectly reported that the 10,000 shares were purchased by the Voting Trust.

/s/ Andrea E. Zurkamer, 03/16/2020 attorney-in-fact for Fred L. <u>Drake</u> /s/ Andrea E. Zurkamer, attorney-in-fact for Heartland 03/16/2020 Bancorp, Inc. Voting Trust

\*\* Signature of Reporting Person Date

U/A/D 5/4/2016

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.