SEC Form 4
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## FORM 4

1. Name and Address of Reporting Person\*

C/O HBT FINANCIAL, INC. 401 N. HERSHEY ROAD

(First)

(State)

(First)

HEARTLAND BANCORP, INC. VOTING

1. Name and Address of Reporting Person\*

TRUST U/A/D 5/4/2016

C/O HBT FINANCIAL, INC. 401 N. HERSHEY ROAD

**BLOOMINGTON IL** 

DRAKE FRED L

BLOOMINGTON IL

(Last)

(Street)

(City)

(Last)

(Street)

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

	Check this box if no l
١	to Section 16. Form 4
)	obligations may conti
	Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL										
OMB Number:	3235-028									

to Sec obligat	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940									Esti	OMB Number: 3235-028 Estimated average burden hours per response: 0.5						
1. Name and Address of Reporting Person <sup>*</sup> DRAKE FRED L					2. Issuer Name and Ticker or Trading Symbol HBT Financial, Inc. [HBT]							5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director X 10% Owner					
	(Last) (First) (Middle) C/O HBT FINANCIAL, INC. 401 N. HERSHEY ROAD					3. Date of Earliest Transaction (Month/Day/Year) 02/19/2021							- X Officer (give title Other (specify below) below) CHAIRMAN AND CEO				
(Street) BLOOMINGTON IL 61704													<ul> <li>S. Individual or Joint/Group Filing (Check Applicable Line)</li> <li>Form filed by One Reporting Person</li> <li>X. Form filed by More than One Reporting Person</li> </ul>				
(City)	(51	· · ·	Zip)	on-Deriva	 htive \$	Secu	rities Aco	wired	Dis	posed of	or Be	nefici	allv Own	ed			
1. Title of Security (Instr. 3) 2. Tran- Date			2. Transact	ion 2A. Deemed Execution Dat		eemed Ition Date,	3. 4. Securities Acc Transaction Disposed Of (D) Code (Instr. 5)					5. Amount of		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership	
								Code	v	Amount	(A) or (D)	Price	Transact (Instr. 3 a	ion(s)			(Instr. 4)
Common Stock, \$0.01 par value <sup>(1)</sup>			02/19/2021				Α		7,428	A	\$ <mark>0</mark>	19,	428		D		
Common Stock, \$0.01 par value													93,	670		I	By the Fred L. Drake Revocable Trust
Common Stock, \$0.01 par value												17,21	0,400			See footnote <sup>(2)</sup>	
		Та	ble II ·							osed of, o				d			
1. Title of Derivative Security (Instr. 3)	e of 2. 3. Transaction 3A. Deemed titve Conversion Date Execution Date ity or Exercise (Month/Day/Year) if any		emed tion Date,	4. Transaction Code (Instr. 8) 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title a Amount Securitie Underlyi Derivativ Security 3 and 4)	and t of beins ties ties tive ty (Instr. 5) ty (Instr. 5)		9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)		10. Ownershi Form: Direct (D) or Indirec (I) (Instr. 4	t (Instr. 4)		

Date Exercisable

(D)

(A)

Code v

(Middle)

61704

(Zip)

(Middle)

61704

Expiration Date

Title

Amount or Number of

Shares

(City) (State) (Zip)

## Explanation of Responses:

1. The reporting person received 7,428 restricted stock units ("RSUs") under the HBT Financial, Inc. Omnibus Incentive Plan. The RSUs vest in three annual installments, with 33% vesting on February 28, 2022, 33% vesting on February 28, 2023, and 34% vesting on February 29, 2024.

2. Consists of shares of common stock held by the Heartland Bancorp, Inc. Voting Trust U/A/D May 4, 2016 (the "Voting Trust"). Mr. Drake is the trustee of the Voting Trust and exercises sole voting and investment control over the common stock held thereby. Mr. Drake may be deemed to be the beneficial owner of securities held by the Voting Trust to the extent that he or his immediate family members are beneficiaries of such trust. Mr. Drake disclaims beneficial ownership of the shares held by the Voting Trust except to the extent of his pecuniary interest therein.

/s/ Andrea E. Zurkamer, attorney-in-fact for Fred L. 02/23/2021 Drake /s/ Andrea E. Zurkamer, attorney-in-fact for Heartland Bancorp, Inc. Voting Trust U/A/D 5/4/2016 \*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.